varying computational resource and varying available bandwidth.

The object is achieved by a method for controlling the rate

5   for encoding a video sequence, wherein the video sequence comprises a plurality of Group Of Pictures (GOP), wherein each Group of Picture comprises at least an I-frame and an Inter-frame, the method comprising the following steps for the encoding of each Inter-frame in the Group of Picture;

10 determining a desired frame rate based on an available bandwidth of a channel for transmitting the video sequence and on available computational resources for the encoding process; determining a target buffer level based on the desired frame rate and the position of the Inter-frame with

15 respect to the I-frame; and determining a target bit rate based on the target buffer level and the available channel bandwidth, wherein the target bit rate is used for controlling the rate for encoding the video sequence.

20 A GOP of the video sequence is assumed to comprise an I-frame (an Intra-frame, i.e. a frame, which is completely encoded without performing motion estimation and motion compensation) and a plurality of P-frames (Predictive-frames, i.e. frames which are encoded using motion

25 estimation and motion compensation) or B-frames (Bi-directional-frames, i.e. frames which are encoded using motion estimation and motion compensation from two adjacent Intra-frames) as Inter-frames. The bits are allocated to the I-frame based on its complexity, and the bits are

30 allocated to each Inter-frame, preferably of each P-frame, using the rate control method according to the invention.

Although the rate control method, in particular the
determining of the target buffer level and the corresponding
target bit rate, is performed preferably on the P-frames of
the GOPs, it should however be noted that the rate control
5   method according to the invention may also be performed on
the B-frames.

When encoding the Inter-frame, preferably the P-frame, a
desired frame rate is first determined based on the
10 available channel bandwidth and the available computational
resources for the encoding process.   The desired frame rate
does not remain constant, but changes adaptively for each
Inter-frame depending on the available channel bandwidth and
the available computational resources.
15
When the available computational resources are insufficient
to achieve the desired frame rate, the encoded bits
accumulated in the encoder buffer is therefore low,
resulting in buffer underflow and wastage of channel
20 bandwidth.   A target buffer level is therefore predefined to
prevent buffer underflow by taking into account the
available computational resource for the encoding process.

The target buffer level defines how the total number of bits
25 which are allocated to the GOP are to be distributed to each
Inter-frame (preferably P-frame) of the GOP, i.e. the budget
for each Inter-frame.   However, there is normally a
difference between the budget of each Inter-frame and the
actual bits used by it. To ensure that each Inter-frame, and
30 hence each GOP, uses its own budget, the target bit rate for
each Inter-frame is computed.   The target bit rate is
computed using a fluid flow model and linear system control

theory, and taking into account the target buffer level and the available channel bandwidth.

The desired frame rate is determined by determining a target
5   encoding time interval for the Inter-frame, preferably the
P-frame, i.e. the time needed for encoding the Inter-frame.
The target encoding time is inversely proportional to the
desired frame rate, and is determined based on the available
bandwidth and also preferably based on an average encoding
10   time. The average encoding time interval for encoding the
Inter-frame is proportional to the computational resources,
and hence is indicative of the available computational
resources. The available bandwidth can be estimated using
the method disclosed in [6].

15

The target encoding time interval for encoding the Inter-
frame is determined using the following equations:

$$T_{fi}(n) = A_1 * T_{fi}(n-1) \qquad \text{if} \qquad B_{mad}(n) > B_1 * TB_{mad}(n),$$

20 $$T_{fi}(n) = A_2 * T_{fi}(n-1) \qquad \text{if} \qquad B_{mad}(n) < B_2 * TB_{mad}(n),$$

$$T_{fi}(n) = T_{fi}(n-1) \qquad \text{otherwise,}$$

wherein
$T_{fi}(n)$ is the target encoding time interval or the target
25   time needed to encode the Inter-frame,
A_1 is a parameter wherein $0.80 < A_1 < 1.00$,
A_2 is a parameter wherein $1.00 < A_2 < 1.10$,
B_1 is a parameter wherein $1.00 < B_1 < 2.00$,
B_2 is a parameter wherein $0 < B_2 < 1.00$,
30   $TB_{mad}(n)$ is the average of $B_{mad}(n)$, and

$B_{mad}(n)$ is related to the average encoding time interval T_{ave}
by

$$B_{mad}(n) = \frac{u(n)\max\{T_{ave}(n-1), T_{fi}(n-1)\}}{MAD(n)}$$

wherein

u(n) is the available channel bandwidth,

$T_{ave}(n-1)$ is the average encoding time interval for the
Inter-frame, and

MAD(n) is the mean absolute difference between the current
frame and the previous frame.

According to the invention, A_1 is preferably set at 0.9, A_2
is preferably set at 1.05, B_1 is preferably set at 1.5, and
B_2 is preferably set at 0.25.

The value of the target encoding time interval $T_{fi}(n)$
obtained is preferably further adjusted using the following
equation:

$$T_{fi}(n) = \min\left\{\frac{5}{4F_r}, \max\left\{\frac{3}{4F_r}, T_{fi}(n)\right\}\right\}.$$

The target encoding time interval $T_{fi}(n)$ is inversely
related to the desired frame rate.

The average encoding time interval is determined using
information on an actual encoding time interval for encoding
the Inter-frame, the target encoding time interval, and the
number of skipped frames due to buffer overflow.

The average encoding time interval is determined using the following equation:

$$T_{ave}(n) = (1-x)T_{ave}(n-1) + \chi * \max\left\{T_c(n), \frac{1}{F_r} - RT_{st}(n-1)\right\}$$

5   wherein

$T_{ave}(n)$ is the average time interval for encoding the Inter-frame,

χ is a weighting factor,

$T_c(n)$ is the actual time for encoding the Inter-frame,

10   F_r is a predefined frame rate, and

RT_{st} is further defined as

$$RT_{st}(n) = 0 \quad \text{if} \quad \max\left\{T_c(n), T_{fi}(n)\right\} < \frac{1}{F_r} - RT_{st}(n-1) \quad \text{or} \quad N_{post}(n) > 0,$$

$$RT_{st}(n) = \max\left\{T_c(n), T_{fi}(n)\right\} + RT_{st}(n-1) - \frac{\left\lfloor\left(\max\left\{T_c(n), T_{fi}(n)\right\} + RT_{st}(n-1)\right)F_r\right\rfloor}{F_r}$$

15   otherwise,

wherein $N_{post}(n)$ is the number of skipped frames due to buffer overflow and the $\lfloor a\rfloor$ refers to the largest integer less than a.

20

The use of the sliding window based method for computing $T_{fi}(n)$ has the advantage of reducing the effect of burst noise on the overall performance of the whole encoding process.

25

This simple method of adjusting the desired frame rate according to the invention is able to keep the quality of Inter-frames in a tolerable range under time-varying channel

8

bandwidth and sudden motion change without obvious
degradation in the perceptual motion smoothness.

5   The desired frame rate is determined using information on
the average encoding time interval $T_{ave}(n)$, and hence based
on the available computational resources.

In each GOP, the target buffer level in each frame is
predefined in a manner such that the more bits are allocated
10   to the Inter-frames, preferably P-frames nearer to the I-
frame of the GOP than the Inter-frames which are further
away and belonging to the same GOP. In this way, Inter-
frames which are near to the I-frame are encoded with a high
quality, and subsequent Inter-frames which are predicted
15   from these high quality Inter-frames are also of a high
quality. As a result, the prediction gain based on these
Inter-frames is improved.

The target buffer level for the Inter-frame is predefined
20   and determined using the following equation:

$$T\arg et(n) = T\arg et(n-1) - \frac{B_c(t_{i,I}) - \delta * B_s}{N_{gop} - 1} * \sum_{j=0}^{N_{pos}(n-1) + S_c(n-1)} W_{pos}(n+j)$$

wherein
Target(n) is the target buffer level,
25   N_{gop} is the number of frames in a GOP,
B_s is the buffer size,
B_c is the actual buffer occupancy after the coding of I-
frame,

S_c is an average number of frames skipped due to
insufficient available computational resources for encoding
the Inter-frame according to the desired frame rate, and
$W_{pos}(l)$ is the position weight of the lth Inter-frame which

5   satisfies

$$\sum_{l=1}^{N_{gop}-1} W_{pos}(l) = N_{gop} - 1$$

and

10

$$W_{pos}(1) \leq W_{pos}(2) \leq \cdots \leq W_{pos}(N_{gop} - 1).$$

The average number of skipped frames due to insufficient
15   computational resources is determined based on an instant
number of skipped frames $\tilde{S}_c(n)$ due to insufficient
computational resources when the Inter-frame is encoded.
The instant number of skipped frames due to insufficient
computational resources is determined using information on
20   the actual encoding time interval and the target encoding
time interval. The determining of the instant number of
skipped frames due to insufficient computational resources
can be summarized using the following equations:

25   $$\tilde{S}_c(n) = \lfloor TST(n) * F_r \rfloor$$

wherein TST(n) is further defined as

10

$$TST(n) = \max\left\{0, \widetilde{TST}(n-1) + \max\{T_c(n), T_{fi}(n)\} - \frac{1}{F_r}\right\}$$

and $\widetilde{TST}(n-1)$ is defined as

$$\widetilde{TST}(n-1) = TST(n-1) - \frac{\lfloor TST(n-1) * F_r \rfloor}{F_r}$$

5

wherein

T_c is the actual encoding time interval, and F_r is a predefined frame rate.

10 The average number of skipped frames due to insufficient computational resources is then determined using the following equation:

$$S_c(n) = \left\lfloor (1-\theta)S_c(n-1) + \theta * \widetilde{S}_c(n) \right\rfloor$$

15

wherein θ is a weighting factor.

The advantage of using the average number of frames skipped S_c instead of an instant number of skipped frames for
20 computing the target buffer level is that the value of S_c changes slowly. This slow change of S_c coincides with a slow adjustment of a quantization parameter Q used for the encoding process of the video.

25 It should however be noted that in an alternative embodiment of the invention, the instant number of skipped frames $\widetilde{S}_c(n)$ can be used instead of the average number of skipped frames $S_c(n)$ to determine the target buffer level.

11

In the case when the channel bandwidth is constant, the complexity of each frame the same and the desired frame rate is guaranteed, the target buffer level for the nth Inter-frame in the ith GOP can be simplified to become

$$T \arg et(n) = \frac{u}{F_r} - \frac{B_c(t_{i,I}) - \delta * B_s}{N_{gop} - 1} * W_{pos}(n)$$

As can be seen from the above equation, the target buffer level of the current Inter-frame is greater than the target buffer level of the subsequent Inter-frames. In other words, more bits are allocated to the Inter-frame which is nearer to the I-frame belonging to the same GOP than the Inter-frame which is further away from the I-frame, i.e. from the Intra-frame.

The target bit rate according to a preferred embodiment of the invention is determined based on the average encoding time interval, the average number of skipped frame due to insufficient computational resource, the target buffer level, the available channel bandwidth and the actual buffer occupancy. In particular, the target bit rate according to a preferred embodiment of the invention is determined using the following equation:

$$\tilde{f}(n) = \max\left\{0, u(t_{n,i}) * \max\left\{T_{ave}(n-1), T_{fi}(n)\right\} + (\gamma - 1)(B_c(t_{n,i}) - T \arg et(n))\right\}$$

wherein

$\tilde{f}(n)$ is the target bit rate,

$t_{n,i}$ is the time instant the nth Inter-frame in the ith GOP is coded, and

γ is a constant.

5   Since the available channel bandwidth $u(t_{n,i})$ and the average encoding time interval $T_{ave}(n-1)$ are used to determine the target bit rate for the Inter-frame, the bit rate control method according to the invention is adaptive to both the available channel bandwidth and the available computational

10   resources.

The target bit rate for the Inter-frame determined above can be further adjusted by a weighted temporal smoothing using the following equation:

15

$$f(n) = \max\left\{\frac{u(t_{n,i}) * \max\{T_{ave}(n-1), T_{f,i}(n)\}}{3} + H_{hdr}(n-1), \mu \times \tilde{f}(n) + (1-\mu) \times f(n-1)\right\}$$

wherein
f(n) is the smoothed target bit rate,

20   μ is a weighting control factor constant, and
$H_{hdr}(n)$ is the amount of bits used for shape information, motion vector and header of previous frame.

It should be noted that in an alternative embodiment, the

25   actual encoding time interval $T_{fi}(n)$ can be used instead of the average encoding time interval $T_{ave}(n)$ for determining the target bit rate. The advantage of using the average encoding time interval T_{ave} instead of T_c for the computation of the target bit rate is that T_{ave} changes slowly. This

30   also coincides with the slow adjustment of the quantization

13

parameter Q for the encoding process of the video sequence.
Also when the actual frame rate is less than the predefined
frame rate, i.e.

5    $$T_{ave} > \frac{1}{F_r} \; ,$$

more bits are assigned to each frame. Therefore, the
possibility of buffer underflow is reduced compared to any
existing rate control method, and the utilization of the
10   channel bandwidth is improved.

Once the target bit rate for each Inter-frame is computed,
the corresponding quantization parameter for the encoding
process can be computed, preferably using the Rate-
15   Distortion (R-D) method described in [5].

In a post-encoding stage of the rate control method
according to the invention, a sleeping time of the encoding
process is updated using the following equation:

20

$$ST_c(n) = \max\left\{\frac{1}{F_r} - RT_{st}(n-1) - \max\{T_{fi}(n), T_c(n)\}, 0\right\} + \frac{N_{post}(n)}{F_r}$$

wherein $ST_c(n)$ is the sleeping time of the encoding process.
The starting coding time of the next frame is then given by

25

$$SCT(n) = T_c(n) + SCT(n-1) + ST_c(n)$$

wherein. SCT(n) is the starting encoding time. The starting
decoding time of the next frame is given by

14

$$SDT(n) = \frac{\lfloor SCT(n) * F_r \rfloor}{F_r}$$

wherein SDT(n) is the starting decoding time. The starting

5   decoding time is to be sent to a decoder to provide
information on the time for decoding each frame of the
encoded video sequence.

Three points should be considered when determining the

10   sleeping time $ST_c(n)$ and the starting decoding time SDT(n).
No frame is to be encoded twice, the time resolution is $1/F_r$
and necessary time should be elapsed when the buffer is in
danger of overflow.

15   Other objects, features and advantages according to the
invention will be presented in the following detailed
description of the illustrated embodiments when read in
conjunction with the accompanying drawings.

20   Brief Description of the Drawings

Figure 1 shows a block diagram of the rate control method
         according to a preferred embodiment of the
         invention.
25

Figure 2 shows the channel bandwidth used for each frame of
         the "weather" and "children" video sequences.

Figure 3 shows the computation time needed to encode each
30   frame of the "weather" and "children" video

sequences using the preferred embodiment of the
invention.

Figure 4 shows the comparison of the PSNR for the "weather"
5   video sequence.

Figure 5 shows the comparison of the PSNR for the "children"
video sequence.

10   Figure 6 shows the comparison of the actual buffer occupancy
for the "weather" video sequence.

Figure 7 shows the comparison of the actual buffer occupancy
for the "children" video sequence.

15

Detailed Description of a preferred embodiment of the
Invention

20   Fig.1 shows a block diagram of the rate control method
according to a preferred embodiment of the invention.

The rate control method according to the invention comprises
the following three stages:
25   the initialization stage,
the pre-encoding stage and
the post-encoding stage.

In step 101, a frame rate F_r is predefined for the encoding
30   process for a Group of Pictures (GOP). Practical issues
like the parameters/specifications of the encoder and
decoder are to be taken into consideration while choosing a

suitable encoding frame rate at this point. Furthermore, it
is not always known whether the hardware on which the video
encoding process, including the rate control, is implemented
can support the predefined frame rate.

5

In step 102, the buffer size for the video frames is set
based on latency requirements. Before the encoding of the
I-frame, the buffers are initialized at $B_s * \delta$ wherein B_s is
the buffer size and δ is a parameter defined as $0 \le \delta \le 0.5$.

10 The I-frame is then encoded in step 103 using a predefined
initial value of quantization parameter Q_0. The encoding of
the I-frame in step 103 may be implemented using any of the
methods described in [1], [3], [4], [5].

15 After the I-frame is encoded, the parameters of a Rate-
Distortion (R-D) model which is subsequently used to
determine a suitable quantization parameter for encoding the
corresponding frames of the video are updated in the post-
encoding stage (step 104). In a further step 105 of the

20 post-encoding stage, the number of skipped frames due to
buffer overflow $N_{post}(n)$ is determined, preferably using the
method disclosed in [5].

In step 106, a sleeping time $ST_c(n)$ of the encoding process

25 after the current frame is determined, wherein the sleeping
time $ST_c(n)$ is used to determine a starting encoding time
SCT(n) for the next frame. The determined starting coding
time SCT(n) is then used to determine the starting decoding
time SDT(n) of the next frame in step 107, wherein the

30 SDT(n) is transmitted to the decoder.

Once the encoding of the I-frame is completed, the next
frame, which is an Inter-frame is encoded using the
quantization parameter which was determined in the previous
post-encoding stage.

5

When the channel bandwidth or the statistics of the video
contents is varying with time, the quality of each frame of
the video sequence will vary significantly if the encoding
frame rate is fixed at the predefined frame rate F_r. To

10   avoid this, a target or desired frame rate is determined in
the pre-encoding stage according to the available channel
bandwidth and any sudden motion change.

An average encoding time interval $T_{ave}(n)$, or the average

15   time interval needed for encoding an P-frame, is determined
in step 108. The average encoding time interval $T_{ave}(n)$ is
then used to determined a target encoding time interval
$T_{fi}(n)$ in step 109. The target encoding time interval $T_{fi}(n)$
is inversely related to the desired frame rate.

20

The determined desired frame rate is then used to determine
a target buffer level for the P-frame in step 110. In step
111, the target buffer level, the actual buffer occupancy,
the available channel bandwidth, the desired frame rate and

25   the average encoding time interval T_{ave} are used to determine
a target bit rate f(n) for the P-frame.

Based on the target bit rate f(n), bits are allocated to the
P-frame in step 112. The corresponding quantization

30   parameter Q is computed as described in [5] in step 113
using the updated R-D model from step 104. The quantization
parameter Q is used to encode the P-frame in step 114.

18

When the next frame is a P-frame, the R-D model is updated again in step 104 of the post-encoding stage and the whole post-encoding and pre-encoding stage is iterated for

5 encoding the next P-frame. If the next frame is an I-frame of a next Group of Pictures (GOP), the encoding process starts again at step 101 for the encoding of the next I-frame.

10 The implementation of the steps 108 to 111 of the pre-encoding stage and steps 106 and 107 of the post-encoding stage according to the invention will now be described in detail.

15 After the coding of an ith I-frame, the initial value of the target buffer level is initialized at

$$T \arg et(0) = B_c\left(t_{i,I}\right) \tag{1}$$

20 wherein
$B_c(t_{i,I})$ is the actual buffer occupancy after the coding of the ith I-frame, and
$t_{i,I}$ is the time instant that the ith I-frame is coded.

25 To determine the target bit rate of each P-frame of the GOP, the target buffer level for the P-frame needs to be determined. The first step of determining the target buffer level is to determine the desired frame rate. This is achieved by first determining the average encoding time

30 interval of the P-frame $T_{ave}(n)$ using the following equation (step 108):

$$T_{ave}(n) = (1-x)T_{ave}(n-1) + \chi * \max\left\{T_c(n), \frac{1}{F_r} - RT_{st}(n-1)\right\} \qquad (2)$$

wherein

χ is a weighting factor,

$T_c(n)$ is the actual time for encoding the P-frame, and

RT_{st} is defined as

$$RT_{st}(n) = 0 \quad \text{if} \quad \max\{T_c(n), T_{fi}(n)\} < \frac{1}{F_r} - RT_{st}(n-1) \quad \text{or} \quad N_{post}(n) > 0, \qquad (3)$$

$$RT_{st}(n) = \max\{T_c(n), T_{fi}(n)\} + RT_{st}(n-1) - \frac{\lfloor (\max\{T_c(n), T_{fi}(n)\} + RT_{st}(n-1))F_r \rfloor}{F_r} \qquad (4)$$

otherwise,

wherein $\lfloor a \rfloor$ refers to the largest integer less than a.

The weighting factor χ is $0 < \chi < 1$, and is preferably set to a value of 0.125. The initial value of the average encoding time interval $T_{ave}(n)$ is given by

$$T_{ave}(0) = \frac{1}{F_r} \qquad (5)$$

and the initial value of $RT_{st}(n)$ is given by

$$RT_{st}(0) = 0 \qquad (6)$$

A variable $B_{mad}(n)$ is further defined by the following equation:

$$B_{mad}(n) = \frac{u(n)\max\{T_{ave}(n-1), T_{fi}(n-1)\}}{MAD(n)}$$ (7)

wherein

u(n) is the available channel bandwidth, and

5   MAD(n) is the mean absolute difference between the current
frame and the previous frame.

The available channel bandwidth u(n) can be estimated by the
method described in [6].

10

An average value of $B_{mad}(n)$ is then computed using the
following equation:

$$TB_{mad}(n) = (1-\xi)TB_{mad}(n-1) + \xi B_{mad}(n)$$ (8)

15

wherein

$TB_{mad}(n)$ is the average value of $B_{mad}(n)$, and

ξ is a weighting factor, preferably at a value of 0.125.

20   After the value of $TB_{mad}(n)$ is computed, the target encoding
time interval $T_{fi}(n)$ can be calculated as below (step 109):

$$T_{fi}(n) = A_1 * T_{fi}(n-1) \text{ if } \quad B_{mad}(n) > B_1 * TB_{mad}(n),$$ (9)

$$T_{fi}(n) = A_2 * T_{fi}(n-1) \text{ if } \quad B_{mad}(n) < B_2 * TB_{mad}(n),$$ (10)

25 $$T_{fi}(n) = T_{fi}(n-1) \quad \text{otherwise.}$$ (11)

wherein

A_1 is a parameter wherein $0.80 < A_1 < 1.00$,

A_2 is a parameter wherein $1.00 < A_2 < 1.10$,

21

B_1 is a parameter wherein $1.00 < B_1 < 2.00$, and
B_2 is a parameter wherein $0 < B_2 < 1.00$.

The value of the target encoding time interval $T_{fi}(n)$
5   determined from equations (9), (10) or (11) may further be
adjusted using the following equation:

$$T_{fi}(n) = \min\left\{\frac{5}{4F_r}, \max\left\{\frac{3}{4F_r}, T_{fi}(n)\right\}\right\} \tag{12}$$

10   wherein the initial value of $T_{fi}(n)$ is given by

$$T_{fi}(0) = \frac{1}{F_r} \tag{13}$$

After the desired frame rate is determined from the inverse
15   of the target encoding time interval $T_{fi}(n)$, the average
number of frames skipped due to insufficient computational
resources $S_c(n)$ is determined in order to determine the
target buffer level.

20   Two time variables are defined as follow:

$$\widetilde{\widetilde{TST}}(n-1) = TST(n-1) - \frac{\lfloor TST(n-1) * F_r \rfloor}{F_r} \tag{14}$$

$$TST(n) = \max\left\{0, \widetilde{\widetilde{TST}}(n-1) + \max\{T_c(n), T_{fi}(n)\} - \frac{1}{F_r}\right\} \tag{15}$$

25

wherein the initial value of $TST(n)$ is given by

$$TST(0) = 0 \tag{16}$$

An instant number of skipped frame $\tilde{S}_c(n)$ due to insufficient computational resources is then given by

$$\tilde{S}_c(n) = \lfloor TST(n) * F_r \rfloor \tag{17}$$

and the average number of skipped frames due to insufficient computational resources $S_c(n)$ is given by

$$S_c(n) = \lfloor (1-\theta)S_c(n-1) + \theta * \tilde{S}_c(n) \rfloor \tag{18}$$

wherein θ is $0 < \theta < 1$, and is preferably set at a value of 0.125. The initial value of $S_c(n)$ is given by

$$S_c(0) = 0 \tag{19}$$

The target buffer level for the P-frame can now be determined using the following equation (step 110):

$$T \arg et(n) = T \arg et(n-1) - \frac{B_c(t_{i,I}) - \delta * B_s}{N_{gop} - 1} * \sum_{j=0}^{N_{pos}(n-1)+S_c(n-1)} W_{pos}(n+j) \tag{20}$$

wherein
Target(n) is the target buffer level,
N_{gop} is the number of frames in a GOP, and
$W_{pos}(l)$ is the position weight of the lth Inter-frame which satisfies

23

$$\sum_{l=1}^{N_{gop}-1} W_{pos}(l) = N_{gop} - 1$$

and

5    $W_{pos}(1) \le W_{pos}(2) \le \cdots \le W_{pos}(N_{gop} - 1)$.

As the R-D model is not exact, there is usually a difference
between the target buffer level for each frame and the
actual buffer occupancy. A target bit rate is thus computed
10   for each frame to maintain the actual buffer occupancy to be
target buffer level. The target bit rate for each frame is
determined by:

$$\tilde{f}(n) = \max\left\{0, u(t_{n,i}) * \max\left\{T_{ave}(n-1), T_{fi}(n)\right\} + (\gamma - 1)\left(B_c(t_{n,i}) - T\arg et(n)\right)\right\} (21)$$

15

wherein

$\tilde{f}(n)$ is the target bit rate,
$t_{n,i}$ is the time instant the nth P-frame in the ith GOP is
20   coded, and
γ is a constant which is 0 < γ < 1, and is preferably set at
a value of 0.25 .

Since the available channel bandwidth u(t$_{n,i}$) and the average
25   coding time interval T$_{ave}$(n-1) are used to determine the
target bit rate for each P-frame, the bit rate control
method according to the invention is adaptive to the channel
bandwidth and the computational resources.

24

Further adjustment to the target bit rate can be made using
the following weighted temporal smoothing equation:

$$f(n) = \max\left\{\frac{u(t_{n,i}) * \max\{T_{ave}(n-1), T_{f,i}(n)\}}{3} + H_{hdr}(n-1), \mu \times \tilde{f}(n) + (1-\mu) \times f(n-1)\right\}$$

5
                                                                (22)

wherein

f(n) is the smoothed target bit rate,

μ is a weighting control factor constant which is set
preferably at a value of 0.5, and

10   $H_{hdr}(n)$ is the amount of bits used for shape information,
motion vector and header of previous frame.

Once the target bit rate is determined, bits are allocated
to each P-frame based on this target bit rate (step 112).

15   The corresponding quantization parameter Q is also
calculated (step 113) using the method disclosed in [5].
The corresponding quantization parameter Q is then used for
coding the P-frame (step 114).

20   After the coding of the P-frame is complete, the parameters
of the R-D model is updated and the number of skipped frames
due to buffer overflow are determined in the post-encoding
stage (step 104,105), respectively, using the method
disclosed in [5].

25

In a further step of the post-encoding stage (step 106), the
sleeping time of the encoding process after the current
frame is determined using the following equation:

$$ST_c(n) = \max\left\{ \frac{1}{F_r} - RT_{st}(n-1) - \max\{T_{fi}(n), T_c(n)\}, 0 \right\} + \frac{N_{post}(n)}{F_r} \qquad (23)$$

wherein $ST_c(n)$ is the sleeping time of the encoding process.

5   The starting encoding time of the next frame can then be
     obtained using the following equation:

$$SCT(n) = T_c(n) + SCT(n-1) + ST_c(n) \qquad (24)$$

10   wherein SCT(n) is the starting encoding time. The starting
     decoding time for the next frame can then be obtained using
     the following equation (step 107):

$$SDT(n) = \frac{\lfloor SCT(n) * F_r \rfloor}{F_r} \qquad (25)$$

15

     wherein SDT(n) is the starting decoding time. The SDT(n)
     for the next frame is then transmitted to the decoder to
     decode the next frame at the time indicated by SDT(n).

20   It should be noted that in the determination of $ST_c(n)$ and
     SDT(n), no frame is encoded twice, the time resolution is
     $1/F_r$, and necessary time should be elapsed when the buffer
     is in danger of overflow.

25   To demonstrate that the objective of the rate control method
     according to the invention has been met, the rate control
     method according to the invention and the rate control
     method used in the standard MPEG-4 encoding device are

applied to two video sequences, and their performances are
compared accordingly.

The two video sequences are referred as "weather" and
5   "children", respectively, and are in the size of QCIF. The
predefined frame rate, F_c, is 30 fps (frames per second),
and the length of each GOP is 50. The available channel
bandwidth and the computation time used for encoding each
frame of the video sequence are shown in Fig.2 and Fig.3,
10   respectively.

The actual frame rate is above 17 fps, which is less than
the predefined frame rate of 30 fps. The initial buffer
fullness is set at $B_s/8$ and the initial quantization
15   parameter Q_0 is set at 15.

Fig.4 and Fig.5 show the Peak Signal-to-Noise Ratio (PSNR)
of the "weather" and "children" video sequence using the
rate control method according to the invention and the rate
20   control method used in MPEG-4, respectively.

The average PSNR of the "weather" video sequence using the
rate control method according to the invention is 34.16 dB,
wherein the average PSNR of the "weather" video sequence
25   using the rate control method used in MPEG-4 is 32.6 dB.
Similarly, the average PSNR of the "children" video sequence
using the rate control method according to the invention is
30.51 dB, wherein the average PSNR of the "children" video
sequence using the rate control method used in MPEG-4 is
30   29.87 dB.

27

Therefore, it can be seen that the average PSNR of the video sequences using the rate control method according to the invention is higher than using the rate control method of MPEG-4.

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Fig.6 and Fig.7 show the actual buffer occupancy for the "weather" and "children" video sequences using the rate control method according to the invention and the rate control method used in MPEG-4, respectively.

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As can be seen from Fig.6 and Fig.7, the occurrence of buffer underflow using the rate control method of MPEG-4 is 12 times for the "weather" video sequence and 18 times for the "children" video sequence. There is no buffer underflow
15   for the two videos sequences using the rate control method according to the invention.

28

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